



OneBeacon
FINANCIAL INSTITUTIONS

Asset Management Risk Solutions™

The investment management industry is operating in a volatile environment. Asset managers and the funds they oversee face unique and complex risks stemming from the ever-changing regulatory landscape, erratic markets and increased scrutiny by regulators and investors. OneBeacon Financial Institutions® has the expertise to provide a broad range of solutions to help protect your firm, its employees, as well as your funds and their directors from the increased professional and management liability exposures.



OneBeacon Financial Institutions' specialized approach features dedicated insurance professionals who understand the complex nature of protecting investment advisers, mutual funds and alternative funds. With a deep understanding of the challenges asset managers face, our underwriters and claims professionals provide a level of expertise and service that helps to differentiate us in the industry.

Our Asset Management Risk Solutions product* is designed specifically to meet the unique coverage needs of the investment management industry.

- Various coverage parts provide a flexible and customizable solution:
 - Investment Adviser Professional Liability
 - Fund Liability (for both Registered and Private Funds)
 - Investment Adviser Management Liability
 - Outside Entity Executive Liability
 - Shareholder Derivative Demand Investigation Costs
 - Independent Director Liability
- Additional coverage extensions can be provided via endorsement for:
 - Employment Practices Liability
 - Fiduciary Liability
 - Cost of Corrections Liability
 - Fund Service Provider Professional Liability
 - Security Broker-Dealer Professional Liability
- Admitted and non-admitted basis
- Worldwide coverage, where permissible by law

Eligible Risks

Asset Management Risk Solutions is designed for asset managers, investment advisers, registered funds, hedge funds, alternative investment funds, and private equity and venture capital firms.

Claims and Risk Control Services

OneBeacon Financial Institutions' specialty approach extends to claims handling. Our claims team is solely focused on financial institutions and is committed to providing a fair and timely resolution of a claim. This expertise provides the foundation for a superior customer service experience suited to your clients' sophisticated needs. OneBeacon Financial Institutions' claims team is comprised of dedicated professionals who have extensive management and professional liability claim experience and marketplace relationships.

Our claims services include:

- 24/7 claim reporting options
- Prompt and thorough claim investigations
- A specialty claims team with deep experience working exclusively on financial institutions losses



OneBeacon Financial Institutions is a brand of OneBeacon Insurance Group that offers a suite of professional liability, management liability, and fidelity products targeting all classes of financial institutions. OneBeacon Financial Institutions is comprised of experienced and seasoned underwriters as well as a dedicated and responsive claim team.

OneBeacon Insurance Group Holdings, Ltd. ("OneBeacon") is a subsidiary of Intact Financial Corporation (TSX: IFC). OneBeacon's underwriting companies offer a range of specialty insurance products sold through independent agencies, regional and national brokers, wholesalers and managing general agencies. Each business is managed by an experienced team of specialty insurance professionals focused on a specific customer group or industry segment, and providing distinct products and tailored coverages and services. OneBeacon's solutions target group accident and health; commercial surety; entertainment; environmental; excess property; financial institutions; financial services; healthcare; management liability; ocean and inland marine; public entities; technology; and tuition refund.

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Visit onebeaconfi.com for more information.

You can also find us on:



**Coverages may be underwritten by one of the following insurance companies: Atlantic Specialty Insurance Company, Homeland Insurance Company of New York, Homeland Insurance Company of Delaware, OBI America Insurance Company and OBI National Insurance Company.*

This material is intended as a general description of certain types of insurance coverages and services. Coverages and availability vary by state; exclusions and deductibles may apply. Please refer to the actual policies or consult with your independent insurance adviser for descriptions of coverages, terms and conditions. Some coverage may be written by a surplus lines insurer through a licensed surplus lines broker. Surplus lines insurers do not generally participate in state guaranty funds and insureds are therefore not protected by such funds.